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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Rothman et al. Confirmation No.: 3143  
Application No.: 10/053,520 Art Unit: 1646  
Filed: January 17, 2002 Examiner: Basi, Nirmal Singh  
For: Conjugate Heat Shock Protein-Binding Peptides Attorney Docket No.: 8449-429-999  
(formerly: 11746/46004)

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §1.56 & §1.97**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the continuing duty of disclosure imposed by 37 C.F.R. §1.56 and §1.97 to inform the Patent and Trademark Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of any claim of the application, Attorneys for Applicants hereby direct the Examiner's attention to references A01-A04; B01-B02 and C01-C29, which are listed on the attached List of References Cited. Pursuant to 37 C.F.R. § 1.98(a)(2)(i), copies of the cited U.S. patent documents (*i.e.*, references A01-A04) are not submitted herewith. Copies of references B01-B02 and C01-C29 are submitted herewith.

Identification of the listed references is not to be construed an admission of Applicants or Attorneys for Applicants that such references are available as "prior art" against the subject application.


Applicants respectfully request that the Examiner review the foregoing references and that the references be made of record in the file history of the application.

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Pursuant to 37 C.F.R. § 1.97(c)(2), since this Supplemental Information Disclosure Statement is being submitted after the first Office Action on merits but before a final Office Action under 37 C.F.R. § 1.113, a fee of \$180.00 is believed to be due. Please charge the required fee to Jones Day Deposit Account No. 50-3013. A copy of this sheet is enclosed.

Respectfully submitted,

Date: December 28, 2005

  
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## LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.

8449-429-999

APPLICATION NO.

10/053,520

APPLICANT

Rothman et al.

FILING DATE

January 17, 2002

ART UNIT

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## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL |     | DOCUMENT NUMBER | DATE       | NAME           | PAGES, COLUMNS, LINES, WHERE<br>RELEVANT PASSAGES OR RELEVANT<br>FIGURES APPEAR |
|----------------------|-----|-----------------|------------|----------------|---|
|                      | A01 | 5,498,538       | 3/12/1996  | Kay et al.     |   |
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|--|-----|---|-----------|----------------|---|
|  | B01 | WO 97/06821   | 2/27/1997 | Rothman et al. |   |
|  | B02 | WO 99/42121   | 8/26/1999 | Podack et al.  |   |

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| Examiner<br>Initials |     | (Include name of the author (in CAPITAL LETTERS), Title, Date, Pertinent Pages, Etc.)  |
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EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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| <b>LIST OF REFERENCES CITED BY APPLICANT</b><br>(Use several sheets if necessary) | ATTY. DOCKET NO. | APPLICATION NO. |
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|                   | C13 | LOPEZ-GUERRERO et al., "Modulation of adjuvant arthritis in Lewis rats by recombinant vaccinia virus expressing the human 60-kilodalton heat shock protein", Infect. Immun. <u>61</u> (10):4225-4231 (1993)   |
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